



Conflicts of Interest Policy

TabTrade (TabTrade Ltd.)

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Trading CFDs and margin foreign exchange involves a high degree of risk and is not suitable for all investors. Leveraged trading carries the possibility of losses that may exceed your initial investment. You should carefully consider your investment objectives, level of experience, and risk appetite before engaging in trading. You do not own or have rights in the underlying assets. Past performance is not a reliable indicator of future results, and tax laws are subject to change. All information provided on this website is general in nature and does not take into account your personal circumstances. We strongly recommend that you seek independent advice if necessary, and read our legal documents carefully before trading with us.

1. Introduction

This policy outlines the principles and approach adopted by TabTrade Ltd. ("TabTrade", "we", "our", "us") to identify and manage conflicts of interest that may arise in the course of its operations.

Its purpose is to provide a general framework for recognising and managing conflicts of interest that could arise between TabTrade, its employees, and its clients.

TabTrade seeks to ensure that such situations are identified and handled in a manner that preserves client confidence and market integrity.

This policy has been prepared in accordance with the expectations of the FSRA of Saint Lucia and reflects TabTrade's commitment to operate fairly, transparently, and in the best interests of clients.

2. Policy Scope

This policy applies to all directors, officers, employees, contractors, consultants, and any person directly or indirectly linked to TabTrade who are connected to us through ownership, authority, or influence, including third-party service providers, technology vendors, affiliates, and representatives acting on behalf of TabTrade.

It covers all services, activities, and operations conducted under the TabTrade name. Management is responsible for ensuring that this policy remains appropriate and reflective of TabTrade's business model and regulatory obligations.

3. Monitoring and Reporting of Conflicts

TabTrade recognises that conflicts of interest may occur in the ordinary course of business. A conflict may arise when the interests of TabTrade or an associated person differ from those of a client, or where the interests of one client differ from another.

All employees are expected to remain alert to situations that may involve a conflict of interest and report these to Management.

Employees must disclose to Management any personal trading accounts or financial interests that could give rise to a conflict, and any personal transactions or relationships that could influence their judgment or duties. Management will consider each disclosure and take steps considered appropriate to manage or eliminate the conflict.

4. Examples of Conflicts

Conflicts of interest may include situations where:

- a. TabTrade acts as principal in a transaction;
- b. TabTrade or a relevant person has an interest in the outcome of a service provided to a client that differs from the client's interest;
- c. TabTrade or a relevant person has an incentive to favour one client or group of clients over another;
- d. TabTrade or a relevant person carries on the same business as a client; or
- e. TabTrade or a relevant person receives an inducement from a

third party in relation to a service provided to a client, other than a standard fee or commission.

5. How We Handle Conflicts of Interest

TabTrade seeks to identify, prevent, and manage conflicts of interest in a manner proportional to the nature, scale, and complexity of its business. Management maintains general oversight of this process and ensures appropriate awareness among staff.

5.1 General principles

- a. clients' interests are to be treated fairly and not subordinated to the interests of TabTrade or its employees;
- b. employees must act with honesty and integrity and avoid any situation that may compromise impartiality; and
- c. management may take such steps as are reasonable to mitigate or remove any identified conflict.

5.2 Practical measures

TabTrade may employ a range of measures depending on the nature of the conflict, which can include functional separation of duties, limiting access to sensitive information, adjusting work allocation, or other steps considered appropriate by Management. Where a conflict cannot be effectively managed, TabTrade may decide not to proceed with the relevant activity or transaction.

5.3 Inducements and external relationships

TabTrade takes care to ensure that no inducement, gift, or other benefit is offered, given, solicited, or accepted in a way that could improperly influence behaviour or create a perception of unfair treatment. Any such matters must be declared to Management for consideration.

5.4 Personal transactions

A personal transaction refers to any transaction in a financial instrument effected by or on behalf of a relevant person acting outside their professional capacity, or for an account in which they have a personal or material interest.

Employees must avoid entering into personal transactions that may conflict with client interests.

5.5 Segregation of Client Assets

TabTrade maintains separate accounting records to ensure full segregation of client funds from company funds. This structure is designed to protect client assets from use by TabTrade or third parties and to reduce the risk of loss or misuse.

6. Disclosure of Conflicts of Interest

Where organisational or administrative arrangements are not sufficient to prevent a potential conflict from adversely affecting a client, TabTrade will disclose the conflict before proceeding with the relevant service or transaction.

The disclosure will provide enough information for the client to make an informed decision about whether to

continue. If TabTrade considers that disclosure alone is not sufficient, it may decline to act.

7. Review

This Policy is reviewed annually to ensure its ongoing adequacy and relevance to TabTrade's operations.

Management is responsible for overseeing this review and ensuring that any necessary updates are made to reflect changes in business practices or regulatory requirements.